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# Normal Families of Meromorphic Function Concerning Shared Values\*

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**Abstract:** In this paper, we study the normality criterion concerning shared value. Let F be a family of meromorphic functions defined in a domain D. Let  $k,n \ge k+2$  be positive integers, and a be a non-zero complex number. For each pair  $(f,g) \in F$ , if  $f(f^n)^{(k)}$  and  $g(g^n)^{(k)}$  share a IM, and  $N(r,1/(f^n)^{(k)}) = S(r,f)$ , then F is normal in D. The result improves and generalizes the theorems obtained by Zeng.

Key words: meromorphic functions; normal families; sharing values

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### 1 Introduction and main results

In this paper, we use the standard notations and concepts of the Nevanlinna theory<sup>[1-5]</sup>. Let D be a domain in  $\mathbb{C}$ , and F be a family of meromorphic functions defined in a domain D. F is said to be normal in D, in the sense of Montel, if for any sequence  $\{f_n\} \subseteq F$ , there exists a subsequence  $\{f_{n_j}\}$  such that  $f_{n_j}$  converges spherically locally uniformly in D, to a meromorphic function or  $\infty$ .

Let g(z) be a meromorphic function, a be a finite complex number. If f(z) and g(z) assume the same zeros, then we say that share a IM (ignoring multiplicity) [1].

In 2004, M. Fang and L. Zalcman [6] got the following results.

**Theorem A** Suppose that k is a positive integer and  $a \neq 0$  is a finite complex number. Let F be a family of meromorphic functions defined in a domain D. If for each pair of functions  $f,g \in F$ , f and g share 0,  $f^{(k)}$  and  $g^{(k)}$  share g IM in g, and the zeros of g are of multiplicity  $g \nmid k+2$ , then g is normal in g.

In 2012, Cuiping Zeng<sup>[7]</sup> proved the following result.

**Theorem B** Let k be a positive integer,  $a(\neq 0)$  and b be two finite values. Let F be a family of meromorphic functions defined in D, all of whose zeros have multiplicity at least k and  $f^{(k)}(z) = b$  when f(z) = 0. If for each pair of functions f and g in F,  $ff^{(k)}$  and  $gg^{(k)}$  share a, then F is normal in D.

It is natural to ask whether Theorems B can be improved by the idea of weakened condition. In this paper, we study the problem and obtain the following theorem.

**Theorem 1** Let  $\mathcal{F}$  be a family of meromorphic functions defined in a domain D. Let  $k,n \ge k+2$  be positive integers, and a be a non-zero complex number. For each pair  $(f,g) \in \mathcal{F}$ , if  $f(f^n)^{(k)}$  and  $g(g^n)^{(k)}$  share a IM, and  $\overline{N}(r,1/(f^n)^{(k)}) = S(r,f)$ , then  $\mathcal{F}$  is normal in D.

**Example 1** Let  $D = \{z: |z| < 1\}$  and  $F = \{f_m(z) = e^{mz} | m = 1, 2, \cdots\}$  or  $F = \{f_m(z) = mz | m = 1, 2, \cdots\}$ . Obviously, for distinct positive integers m, l, we have  $f_m(f_m^n)^{(k)}$  and  $g_l(g_l^n)^{(k)}$  share 0 IM. However, the families F are not normal at z = 0.

Example 1 shows that the condition  $a\neq 0$  in Theorem 1 is necessary.

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## 2 Some Lemmas

**Lemma 1** (Zalcman's Lemma)<sup>[8-9]</sup> Let  $\mathcal{F}$  be a family of meromorphic functions in the unit disc  $\Delta$  and  $\alpha$  be a real number satisfying  $-1 < \alpha < 1$ . Then if  $\mathcal{F}$  is not normal at a point  $z_0 \in \Delta$ , there exist, for each  $-1 < \alpha < 1$ ; 1) a real number r, r < 1; 2) points  $z_n$ ,  $|z_n| < r$ ; 3) positive numbers  $\rho_n$ ,  $\rho_n \to 0^+$ ; 4) functions  $f_n$ ,  $f_n \in \mathcal{F}$ , such that  $g_n(\xi) = \frac{f_n(z_n + \rho_n \xi)}{\rho_n^{\alpha}}$ , spherically uniformly on compact subsets of  $\mathbf{C}$ , where  $g(\xi)$  is a non-constant meromorphic function and  $g^{\#}(\xi) \le g^{\#}(0) = 1$ . Moreover, the order of g is not greater than 2.

**Lemma 2** Let  $k,n \ge k+2$  be positive integers and  $a \ne 0$  be a finite complex number, and f be a non-constant rational meromorphic function, then  $f(f^n)^{(k)} - a$  has at least two distinct zeros.

**Proof** Case 1 Suppose that  $f(f^n)^{(k)} - a$  has exactly one zero  $z_0$ .

Case 1.1 If  $f^n$  is a non-constant polynomial. Set  $f(f^n)^{(k)} - a = A(z-z_0)^l$ , where A is non-zero constant, l is a positive integer and  $l \ge n - k \ge 2$ . Then  $[f(f^n)^{(k)}]' = Al(z-z_0)^{l-1}$ . Hence  $f(f^n)^{(k)}(z_0) = 0$ , which contradicts with  $(f^n)^{(k)}(z_0) = a \ne 0$ . Therefore f is rational but not a polynomial.

Case 1.2 If  $f(f^n)^{(k)}$  is rational but not a polynomial and has exactly one zero. We set

$$f = A \frac{(z - \alpha_1)^{m_1} \cdots (z - \alpha_s)^{m_s}}{(z - \beta_1)^{n_1} \cdots (z - \beta_t)^{n_t}}$$
(1)

where A is a non-zero constant and  $m_i \ge 1 (i=1,2,\dots,s), n_j \ge 1 (j=1,2,\dots,t).$ 

Moreover, we denote

$$m_1 + m_2 + \dots + m_s = M \geqslant s, n_1 + n_2 + \dots + n_t = N \geqslant t$$
 (2)

From (1), we have

$$f^{n} = A^{n} \frac{(z - \alpha_{1})^{m_{1}^{n}} \cdots (z - \alpha_{s})^{m_{s}^{n}}}{(z - \beta_{1})^{n_{1}^{n}} \cdots (z - \beta_{t})^{n_{t}^{n}}}$$
(3)

and

$$(f^{n})^{(k)} = \frac{(z-\alpha_{1})^{m_{1}n-k}\cdots(z-\alpha_{s})^{m_{s}n-k}g(z)}{(z-\beta_{1})^{n_{1}n+k}\cdots(z-\beta_{t})^{n_{t}n+k}}$$
(4)

where g(z) is a polynomial, and deg  $g \le k(s+t-1)$ . Then

$$f(f^{n})^{(k)} = \frac{(z-\alpha_{1})^{m_{1}(n+1)-k} \cdots (z-\alpha_{s})^{m_{s}(n+1)-k} g(z)}{(z-\beta_{1})^{n_{1}(n+1)+k} \cdots (z-\beta_{t})^{n_{t}(n+1)+k}} = \frac{P}{Q}$$

$$(5)$$

$$[f(f^n)^{(k)}]' = \frac{(z - \alpha_1)^{m_1(n+1)-k-1} \cdots (z - \alpha_s)^{m_s(n+1)-k-1} g_1(z)}{(z - \beta_1)^{n_1(n+1)+k+1} \cdots (z - \beta_t)^{n_t(n+1)+k+1}}$$
(6)

where  $g_1(z)$  is a polynomial, and  $\deg g_1 \leq (k+1)(s+t-1)$ .

Since  $f(f^n)^{(k)} - a$  has exactly one zero  $z_0$ , from (5), we have

$$f(f^{n})^{(k)} = a + \frac{B(z - z_{0})^{l}}{(z - \beta_{1})^{n_{1}(n+1)+k} \cdots (z - \beta_{t})^{n_{t}(n+1)+k}} = \frac{P}{Q}$$

$$(7)$$

and

$$[f(f^n)^{(k)}]' = \frac{(z-z_0)^{l-1}g_2(z)}{(z-\beta_1)^{n_1(n+1)+k+1}\cdots(z-\beta_t)^{n_t(n+1)+k+1}}$$
(8)

where B is a non-constant and

$$g_2(z) = B[l - (n+1)N - kt]z^t + B_{t-1}z^{t-1} + \dots + B_0$$
 (9)

in which  $B_0$ ,  $B_1$ , ...,  $B_{t-1}$  are constants.

Case 1.2.1 If  $l \le (n+1)N + kt$ . By (7), we easily obtained that  $\deg(P) = \deg(Q)$ . Then, from (5), we have

 $(n+1)N+kt=\deg Q=\deg P=M(n+1)-ks+\deg g\leqslant (n+1)M-ks+k(s+t-1)\leqslant (n+1)M+kt-k$ Hence,  $(n+1)M-N(n+1)\geqslant k>0$ , therefore M>N. On the other hand,  $\alpha_i\neq z_0$   $(i=1,2,\cdots,s)$  and  $\deg g_2=t$ , from (2),(6) and (8), we have  $(n+1)M-(k+1)s\leqslant \deg g_2=t$ . That is,  $(n+1)M\leqslant (k+1)s+t\leqslant (k+1)M+N$ , then  $2M\leqslant M(n-k)\leqslant N$ , which contradicts with M>N.

Case 1.2.2 If  $l \ge (n+1)N+kt$ . From (9), we have  $\deg g_2 \le t$ . It follows from the proof of above that  $2M \le N$ . On the other hand, from (6) and (8), we have  $N(n+1)+kt-1 \le l-1 \le \deg g_1 \le (k+1)(s+t-1)$ . By (2), we have  $(n+1)N \le (k+1)s+t-k \le (k+1)M+N-k$ . This means that (k+1)M > nN, combining with  $2M \le N$ , we have  $2n \le k+2-1 \le n-1$ , which is impossible.

Case 2 If  $f(f^n)^{(k)} - a$  has no zero.

Case 2.1 Since  $n \ge k+2$  and f is a non-constant function. It is easily obtain that f is not a polynomial.

Case 2.2 f is rational but not a polynomial. Then we have l=0 for (7). Proceeding as the proof of case 1.2.1, we have a contraction.

The proof of Lemma 2 is completed.

**Lemma 3** Let  $k, n \ge k+2$  be positive integers and  $a \ne 0$  be a finite complex number, and f be a transcendental meromorphic function with  $\overline{N}(r, 1/(f^n)^{(k)}) = S(r, f)$ , then  $f(f^n)^{(k)} - a$  has infinitely many zeros.

**Proof** Let 
$$g = (f^n)^{(k)}, \varphi = fg - 1$$
 (10)

We suppose, to the contrary, that  $f(f^n)^{(k)} - a$  has only finitely many zeros, since f is transcendental, then

$$N\left(r, \frac{1}{f\left(f^{n}\right)^{(k)}-a}\right) = S(r, f) \tag{11}$$

By  $\varphi = fg - 1$ , we get  $f = (\varphi + 1)/g$ , then  $N\left(r, \frac{1}{f}\right) \leqslant N\left(r, \frac{1}{\varphi + 1}\right) + S(r, f)$ . Using (10), we have  $\frac{1}{f} = \frac{g}{\varphi + 1}$ ,  $\frac{1}{f^2} = \frac{1}{\varphi + 1} \cdot \frac{(f^n)^{(k)}}{f}$ . Therefore,  $m\left(r, \frac{1}{f}\right) \leqslant 2m\left(r, \frac{1}{f}\right) = m\left(r, \frac{1}{f^2}\right) \leqslant m\left(r, \frac{1}{\varphi + 1}\right) + S(r, f)$ .

Hence

$$T(r,f) = T\left(r,\frac{1}{f}\right) + O(1) = m\left(r,\frac{1}{f}\right) + N\left(r,\frac{1}{f}\right) + O(1) \leqslant m\left(r,\frac{1}{\varphi+1}\right) + N\left(r,\frac{1}{\varphi+1}\right) + S(r,f) \leqslant T\left(r,\frac{1}{\varphi+1}\right) + S(r,f) = T(r,\varphi+1) + S(r,f) = T(r,f(f^n)^{(k)}) + S(r,f)$$
(12)

On the other hand, by the second fundamental theorem and (11), we have

$$T(r,f(f^{n})^{(k)}) \leq \overline{N}(r,f) + \overline{N}\left(r,\frac{1}{f(f^{n})^{(k)}}\right) + \overline{N}\left(r,\frac{1}{f(f^{n})^{(k)}-a}\right) + S(r,f) \leq \overline{N}(r,f) + \overline{N}\left(r,\frac{1}{f(f^{n})^{(k)}}\right) + S(r,f)$$

$$(13)$$

Let f has a pole  $z_0$  of order p, by  $\varphi+1=fg$  and  $n\geqslant k+2$ , we get  $z_0$  is a pole of  $\varphi+1$  of multiplicity  $p+(np+k)\geqslant 1+(3+k)=k+4$ , thus

$$\overline{N}(r,f) \leqslant \frac{1}{k+4} N(r,\varphi+1) + S(r,f) \leqslant \frac{1}{k+4} T(r,\varphi+1) + S(r,f) = \frac{1}{k+4} T(r,f(f^n)^{(k)}) + S(r,f)$$
(14)

Let f has a zero  $z_1$  of order q, by  $\varphi+1=fg$  and  $n\geqslant k+2$ , we get  $z_1$  is a zero of  $\varphi+1$  of multiplicity  $q+(nq-k)\geqslant 1+(k+2-k)=3$ . Since  $\overline{N}(r,1/(f^n)^{(k)})=S(r,f)$ . Thus, we have

$$\overline{N}\left(r, \frac{1}{f(f^n)^{(k)}}\right) \leq \overline{N}\left(r, \frac{1}{f}\right) + \overline{N}\left(r, \frac{1}{(f^n)^{(k)}}\right) \leq \frac{1}{3}N(r, f(f^n)^{(k)}) \leq \frac{1}{3}T(r, f(f^n)^{(k)})$$
(15)

According to (13), (14) and (15), we have

$$\frac{2k+5}{3(k+4)}T\left(r,\frac{1}{f\left(f^{n}\right)^{(k)}}\right) \leqslant S(r,f)$$

$$\tag{16}$$

By(12) and (16), we obtain  $T(r, f) \leq S(r, f)$ . This contradicts the fact that f is transcendental, and hence  $f(f^n)^{(k)} - a$  has infinitely many zeros.

#### 3 Proof of theorems

**Proof of Theorem** 1 Without loss of generality, we may assume that  $D = \{z \in \mathbb{C} \mid |z| < 1\}$ . Suppose, to the contrary, that F is not normal in D. Without loss of generality, we assume that F is not normal at  $z_0 = 0$ . Then, by Lemma 1, there exist a sequence  $\{z_j\}$  of complex numbers with  $z_j \to 0$  ( $j \to \infty$ ), a sequence  $\{f_j\}$  of F; and a sequence  $\{\rho_j\}$  of positive numbers with  $\rho_j \to 0$ , such that

$$g_j(\xi) = \rho_j^{-\frac{k}{n+1}} f_j(z_j + \rho_j \xi) \tag{17}$$

converges uniformly to a non-constant meromorphic function  $g(\xi)$  in C with respect to the spherical metric. Moreover,  $g(\xi)$  is of order at most 2. Hurwitz's theorem implies that  $\overline{N}(r,1/(g^n)^{(k)}) = S(r,g)$ .

By (17), we have

$$f_{j}(z_{j}+\rho_{j}\xi)(f_{j}^{n}(z_{j}+\rho_{j}\xi))^{(k)}-a=g_{j}(\xi)(g_{j}^{n}(\xi))^{(k)}-a \rightarrow g(\xi)(g^{n}(\xi))^{(k)}-a$$
(18)

with respect to the spherical metric.

If  $g(g^n)^{(k)} \equiv a$ , then g has no zeros. Of course, g also has no poles. Since g is a non-constant meromorphic function of order at most 2, then there exist constants  $c_i$  such that  $(c_1, c_2) \neq (0, 0)$ , and

$$g(\xi) = e^{c_0 + c_1 \xi + c_2 \xi^2}$$
 (19)

Obviously, this is contrary to the case  $g(g^n)^{(k)} \equiv a$ . Hence  $g(g^n)^{(k)} \not\equiv a$ .

By Lemma 2 and Lemma 3, the function  $g(g^n)^{(k)} - a$  has at least two distinct zeros. Let  $\xi_0$  and  $\xi_0^*$  be two distinct zeros of  $g(g^n)^{(k)} - a$ .

We choose a positive number  $\delta$  small enough such that  $D_1 \cap D_2 = \emptyset$  and such that  $g(g^n)^{(k)} - a$  has no other zeros in  $D_1 \cup D_2$  except for  $\xi_0$  and  $\xi_0^*$ , where

$$D_1 = \{ \boldsymbol{\xi} \in \mathbf{C} \mid |\boldsymbol{\xi} - \boldsymbol{\xi}_0| < \delta \}, D_2 = \{ \boldsymbol{\xi} \in \mathbf{C} \mid |\boldsymbol{\xi} - \boldsymbol{\xi}_0^*| < \delta \}$$

$$(20)$$

By(18) and Hurwitz's theorem, for sufficiently large j there exist points  $\xi_i \in D_1$ ,  $\xi_i^* \in D_2$  such that

$$f_j(z_j + \rho_j \xi_j) (f_j^n(z_j + \rho_j \xi_j))^{(k)} - a = 0, f_j(z_j + \rho_j \xi_j^*) (f_j^n(z_j + \rho_j \xi_j^*))^{(k)} - a = 0$$

 $f_m(z_i + \rho_i \xi_i) (f_m^n(z_i + \rho_i \xi_i))^{(k)} - a = 0, f_m(z_i + \rho_i \xi_i^*) (f_m^n(z_i + \rho_i \xi_i^*))^{(k)} - a = 0$ 

By the assumption in Theorem 1,  $f(f^n)^{(k)}$  and  $g(g^n)^{(k)}$  share a IM. For any integer m, it follows that

We fix m and note that  $z_j + e_j \xi_j \rightarrow 0$ ,  $z_j + e_j \xi_j^* \rightarrow 0$ , if  $j \rightarrow \infty$ , we get  $f_m(0)(f_m^n(0)^{(k)} - a = 0$ .

Since the zeros of  $f_m(z)(f_m^n(z)^{(k)}-a)$  have no accumulation points for sufficiently large j, in fact we have  $z_j + \rho_j \xi_j = 0$ ,  $z_j + \rho_j \xi_j^* = 0$ .

Hence  $\xi_j = -\frac{z_j}{\rho_i}$ ,  $\xi_j^* = -\frac{z_j}{\rho_i}$ . This contradicts with the facts that  $\xi_j \in D_1$ ,  $\xi_j^* \in D_2$ ,  $D_1 \cap D_2 = \emptyset$ .

Theorem 1 is proved completely.

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# 关于分担值的亚纯函数的正规族

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摘要:本文主要研究了关于分担值的亚纯函数的正规性。令 F 为定义在区域 D 上的亚纯函数族,k,n ( $\geq k+2$ ) 为正整数,a 为非零复常数。如果对任一对  $(f,g) \in F$ ,都有  $f(f^n)^{(k)}$  与  $g(g^n)^{(k)}$  IM 分担 a,且  $N(r,1/(f^n)^{(k)}) = S(r,f)$ ,则 F 在 D 上正规。此结论改进和加强了已有文献中的结论。

关键词:亚纯函数;正规族;分担值