

一类四阶非线性微分方程的渐近稳定性*

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摘要:假设存在常数 $h>0, k>0, m>0, \epsilon>0$, 使得当 $|y|\leq h, |z|\leq k, |y|\leq m|z|$ 时, 函数 $G(y)$ 具有连续的二阶导数, 四阶非线性微分方程 $x^{(4)} + ax^{(3)} + G'(x')x^{(2)} + cx' + f(x) = 0, f(0) = 0$, 在满足: $acG'(y) - c^2 - a^2 \geq \epsilon > 0, |G'(y)| \leq \frac{\epsilon}{(am^2 + c)k}, |f'(x)| \leq \frac{2a}{2a+1}, 2a^2 + a > c, (f(x) + cy) \operatorname{sgn} z \geq 0, (az + u) \operatorname{sgn} y \geq 0$ 的条件下, 利用 Lyapunov 函数构造法, 给出了其零解的全局渐近稳定性的充分性准则, 所得结果包含并改进了相关文献的结果。

关键词:微分方程; Lyapunov 函数; 渐近稳定性

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1 引言及引理

对于二阶、三阶非线性系统, 可以用类比的方法和 Lyapunov 第二方法, 其稳定性的研究, 已有很多很好的结果^[1-21], 但对于四阶及以上的系统, 应用这一方法, 也有一些结果^[22-26], 但还有很多问题没有得到解决。

1995年, 梁在中^[24]研究了

$$x^{(4)} + ax^{(3)} + bx^{(2)} + cx' + f(x) = 0, f(0) = 0, \tag{1}$$

$$x^{(4)} + ax^{(3)} + G'(x')x^{(2)} + cx' + dx = 0 \tag{2}$$

的平凡解的渐近稳定性。

2004年, Sadek A I^[27]研究了如下四阶时滞微分方程

$$x^{(4)} + \alpha_1 x^{(3)} + \alpha_2 x^{(2)} + \alpha_3 x' + f(x(t-\gamma)) = 0, \tag{3}$$

$$x^{(4)} + \alpha_1 x^{(3)} + \alpha_2 x^{(2)} + G(x'(t-\gamma)) + f(x) = 0 \tag{4}$$

的平凡解的渐近稳定性。

笔者将讨论如下四阶非线性方程零解的渐近稳定性问题:

$$x^{(4)} + ax^{(3)} + G'(x')x^{(2)} + cx' + f(x) = 0, f(0) = 0, \tag{5}$$

其中 $a>0, c>0$, 将方程化为等价系统

$$\begin{cases} x' = y, \\ y' = z, \\ z' = u, \\ u' = -f(x) - cy - G'(y)z - au. \end{cases} \tag{6}$$

2 主要结论

定理 1 假设存在常数 $h>0, k>0, m>0, \epsilon>0$, 使得当 $|y|\leq h, |z|\leq k, |y|\leq m|z|$ 时, 函数 $G(y)$ 具有连续的二阶导数, 且满足下列条件:

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- 1) $acG'(y) - c^2 - a^2 \geq \epsilon > 0$;
- 2) $|G'(y)| \leq \frac{\epsilon}{(am^2 + c)k}$;
- 3) $|f'(x)| \leq \frac{2a}{2a+1}$;
- 4) $2a^2 + a > c$;
- 5) $(f(x) + cy)\operatorname{sgnz} \geq 0$;
- 6) $(az + u)\operatorname{sgny} \geq 0$.

则系统(6)的平凡解是渐近稳定的。

证明 作 Lyapunov 函数

$$\begin{aligned}
 V = & af^2(x) + [aG'(y) - c + ac^2]y^2 + [a^2c + cG'(y)]z^2 + cu^2 + 2acyf(x) + 2czf(x) + \\
 & 2(c^2 + a^2)yz + 2ayu + 2acz u + 2a \int_0^x f(\xi)[1 - f'(\xi)]d\xi = \\
 & [aG'(y) - c]y^2 + [cG'(y) - a]z^2 + a[f(x) + cy]^2 + c(az + u)^2 + 2c(f(x) + cy)z + \\
 & 2a(az + u)y + 2a \int_0^x f(\xi)[1 - f'(\xi)]d\xi + az^2.
 \end{aligned}$$

$$\text{由于① } \frac{d}{dt}\{[aG'(y) - c]y^2\} = aG''(y)zy^2 + 2ayzG'(y) - 2cyz;$$

$$\text{② } \frac{d}{dt}\{[cG'(y) - a]z^2\} = cG''(y)z^3 + 2czuG'(y) - 2azu;$$

$$\text{③ } \frac{d}{dt}\{a[f(x) + cy]^2\} = 2af(x)f'(x)y + 2acy^2f'(x) + 2acf(x) + 2ac^2yz;$$

$$\text{④ } \frac{d}{dt}\{c(az + u)^2\} = -2aczf(x) - 2cuf(x) - 2ac^2yz - 2c^2yu - 2caG'(y)z^2 - 2czuG'(y);$$

$$\text{⑤ } \frac{d}{dt}\{2c(f(x) + cy)z\} = 2cyzf'(x) + 2c^2z^2 + 2cuf(x) + 2c^2yu;$$

$$\text{⑥ } \frac{d}{dt}\{2a(az + u)y\} = 2a^2yu - 2ayf(x) - 2acy^2 - 2aG'(y)yz - 2a^2yu;$$

$$\text{⑦ } \frac{d}{dt}\left\{2a \int_0^x f(\xi)[1 - f'(\xi)]d\xi\right\} = 2ayf(x) - 2ayf(x)f'(x);$$

$$\text{⑧ } \frac{d}{dt}(az^2) = 2azu.$$

$$\begin{aligned}
 \text{则 } \frac{dV}{dt} = & aG''(y)zy^2 + cG''(y)z^3 + 2acy^2f'(x) - 2acG'(y)z^2 + 2cyzf'(x) + 2c^2z^2 - 2acy \leq \\
 & |G''(y)| \left(a \left| \frac{y}{z} \right|^2 + c \right) |z|z^2 + (2c^2 - 2acG'(y))z^2 + (2ac|f'(x)| - 2ac)y^2 + c|f'(x)|(y^2 + z^2) = \\
 & |G''(y)| \left(a \left| \frac{y}{z} \right|^2 + c \right) |z|z^2 + [2c^2 - 2acG'(y) + c|f'(x)|]z^2 + [2ac|f'(x)| - 2ac + c|f'(x)|]y^2 \leq \\
 & \epsilon z^2 + \left(-2\epsilon - 2a^2 + \frac{2ac}{2a+1} \right) z^2 + \left(2ac \frac{2a}{2a+1} - 2ac + c \frac{2a}{2a+1} \right) y^2 \leq -\epsilon z^2.
 \end{aligned}$$

且只有平凡解才能使 $\frac{dV}{dt} \equiv 0$, 则由关于渐近稳定性的李雅普诺夫稳定性^[13]可知, 系统(5)的平凡解是渐近稳定的。

证毕

3 推论

推论 1 若 $G'(x') = b$ 时, 即为文献[24]中的定理 1。

推论 2 若 $f(x) = d \cdot x$ 时, 即为文献[24]中的定理 2。

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Asymptotic Stability of a Certain Fourth-order Differential Equation

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Abstract: Under the assumption that there is constant $h > 0$, $k > 0$, $m > 0$ and $\epsilon > 0$, which $|y| \leq h$, $|z| \leq k$ and $|y| \leq m|z|$. The function $G(y)$ with continuous two order derivatives. Four nonlinear differential equations in $x^{(4)} + ax^{(3)} + G'(x')x^{(2)} + cx' + f(x) = 0$, $f(0) = 0$ (5), to meet the conditions of $acG'(y) - c^2 - a^2 \geq \epsilon > 0$, $|g''(y)| \leq \frac{\epsilon}{(am^2 + c)k}$, $|f'(x)| \leq \frac{2a}{2a+1}$, $2a^2 + a > c$, $(f(x) + cy)\operatorname{sgn}z \geq 0$, and $(az + u)\operatorname{sgn}y \geq 0$. By constructing Lyapunov functional, given equation (5) in the adequacy criterion for the global asymptotic stability. The results include and improve the related results in the literatures.

Key words: differential equation; Lyapunov function; asymptotic stability

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